10593023

Document made available under the **Patent Cooperation Treaty (PCT)**

International application number: PCT/US05/010886

International filing date:

31 March 2005 (31.03.2005)

Document type:

Certified copy of priority document

Document details:

Country/Office: US

Number:

60/558,462

Filing date:

31 March 2004 (31.03.2004)

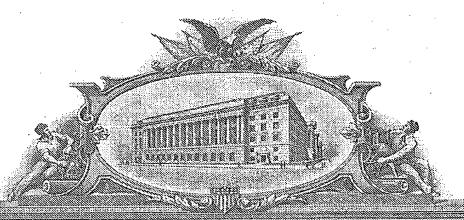
Date of receipt at the International Bureau: 12 August 2005 (12.08.2005)

Remark:

Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





ANTODINA DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DE LA COMPANIO DE LA COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DEL COMPANIO DE LA COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO DE LA COMPANIO DEL COMPANIO

and later and later ferrices; best collection and collections.

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

August 04, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/558,462

FILING DATE: March 31, 2004

RELATED PCT APPLICATION NUMBER: PCT/US05/10886

Certified by

Under Secretary of Commerce for Intellectual Property and Director of the United States

Patent and Trademark Office

Docket Number

UCLA1540

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c). INVENTOR(s)/APPLICANT(s) RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTR Given Name (first and middle (ifany)) Family Name or Surname Los Angeles, California Michael V. Sofroniew King Ning Tυ Los Angeles, California Los Angeles, California Wu Benjamin M. Yuhuan Χu Los Angeles, California Γ Additional inventors are being named on page _ attached hereto. TITLE OF INVENTION (280 characters max) ORIENTED POLYMER FIBERS WITH FUNCTIONAL GRADIENTS BY ELECTROPULLING CORRESPONDENCE ADDRESS LISA A. HAILE GRAY CARY WARE & FREIDENRICH 4365 Executive Drive, Suite 1100, San Diego, California 92121-2133 Phone: (858) 677-1456 Fax: (858) 677-1465 ENCLOSED APPLICATION PARTS (check all that apply) Entitled to Small Yes Specification Number of Pages **Entity Status** Other (specify) Number of Sheet Drawing(s) METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one) FILING FEE A check or money order is enclosed to cover the filing fees AMOUNT X The Commissioner is hereby authorized to charge filing fees or 50-1355 \$80.00 credit any overpayment to Deposit Account Number: A duplicate copy of this sheet is enclosed. The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government. Yes, the name of the U.S. Government agency and the Government contract number are: Respectfully submitte March 31, 2004 SIGNATURE Lisa A. Haile, Ph. B.
TYPED or PRINTED NAME REG. NO. 38,347 TELEPHONE (858) 677-1456 Certificate of Mailing by Express Mail Date: March 31, 2004 Express Mail Label No. EV318741624 I certify that this provisional patent application cover sheet, provisional patent application and fee is being deposited on March 31, 2004, with the U.S. Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop: Provisional Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Jason Berry

Gray Cary\GT\6393070 102907-68

This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL LABEL NUMBER: EV318741624US

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "ENPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON MARCH 31, 2004, AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS, MAIL STOP: PROVISIONAL - PATENT APPLICATION, ALEXANDRIA, VA 22313-1450

Jason Berry

CTYPED OR PRINTED NAME OF PERSON MAILING PAPER

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

PROVISIONAL APPLICATION

for

UNITED STATES LETTERS PATENT

on

ORIENTED POLYMER FIBERS WITH FUNCTIONAL GRADIENTS BY ELECTROPULLING

by

Michael V. Sofroniew
King-Ning Tu
Benjamin M. Wu
Yuhuan Xu

Docket No.: UCLA1540

Lisa A. Haile, J.D., Ph.D.
Gray Cary Ware & Freidenrich LP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133

Oriented Polymer Fibers with Functional Gradients by ElectroPulling 1. Background

Electrospinning, or electrostatic fiber formation, is a method of producing fibers with diameters ranging from 10 nm to 10 μm by accelerating a jet of charged polymer solution within an electric field¹. Electrospinning is a rapid, simple, and inexpensive method to fabricate high aspect ratio, submicron diameter size fibers with high surface area. Potential applications of such fibers include filtration² and composite materials³, catalyst support⁴, optical⁵ and chemical⁶ sensors, and drug delivery⁷, etc. Electrospun conducting polymers have been used to fabricate metallic nano-tubes⁸, nano-wires⁹ and field-effect transistors¹⁰. Electrospun non-woven biodegradable fabrics have been reported for adhesion barrier¹¹, wound dressing¹² and tissue engineering¹³ with controlled surface chemistry¹⁴ and protein contents. ^{15, 16}

Currently, fiber mat, or fiber wood, fabricated by electrospinning has been studied by many research groups. Typically, during electrospinning, a reservoir of polymer fluid is connected with a large electric potential and fluid is delivered to the tip of a small capillary. The electrical charge that develops at the fluid's free surface interacts with the external electric field, resulting in the emission of a steady fluid jet that thins as it accelerates towards the collector. In most cases, the jet experiences a whipping instability, leading to bending and stretching of the jet, observed as loops of increasing size as the instability grows. The whipping jet thins dramatically, by as much as 3 orders of magnitude, while traveling the short distance between the electrodes. The presence of polymer in solution leads to the formation of fine solid fibers as the solvent evaporates. The final formation of the fiber mat can be directly influenced, but not limited, by several factors such as: the driving electrical field, activity of the polymer within the solvent, the viscosity of polymer solution, the evaporation rate of the solvent, and the surface charge of the polymer jet/fiber. In almost all reports, the final fiber mat that forms on the collector presents random fiber orientation. The looping and spiraling path of fibers, due to bending, whipping, and other instabilities that occur during fiber formation. Quasi "oriented fiber mat" has been reported by collecting the electrospun fiber by a "rotating and translating ground target", but the reported fiber mat presents poor 2-dimension preferentially orientation. Fiber alignment has been produced with a sharp-edged rotating disk13, and by collecting fibers between two conductive electrodes17, but neither technique produces three dimensionally aligned fibers. For biomedical applications such as, but not limited to, the regeneration of neural tissues, cardiac tissues, and smooth muscle layers of many organs, oriented bioactive fibers offer the potential of promoting cell attachment, alignment, differentiation, and function.

2. Description of the invention

ElectroPulling produces surface functionalized polymer fibers with controlled three dimensional orientations. Unlike the state of the art electrospinning, which involves whipping instability, electropulling creates quasi linear jet motion. This is accomplished by subjecting a metastable liquid dispersion to a high voltage electrical field to create liquid column motion, phase separation and segregation within the liquid column, and fiber formation during solvent evaporation. The metastable dispersion of multiple liquid phases can include additives to control solubility, charge, viscosity, surface tension, evaporation, boiling point, refractive index, to influence the final chemical, physical, and biological properties of the resultant fibers.

Our electropulling set up is shown in Figures 1. The setup is different from all known electrospinning facilities. The key point of our electropulling setup is a special electrode, which located under the jet and distances the grounded collector by 6 inches to one feet. This special electrode has a floating potential and also has a function as "second collector". The polymer solution jet was electropulled from the floating electrode toward the collector. Finally, 3-dimensional oriented fiber is formed between the grounded collector and the floating electrode. In principle, any polymer which can be dissolved in a solvent can be electrospun to form 3-dimensional oriented fiber.

2-1. Liquid phase separation in polymer solution

The invention approach 1 employs a method of liquid phase separation.

Example 1: PVDF-TrFE (65/35 wt% Polyvinylidene fluoride-co-trifluoroethylene) fiber.

Polyvinylidene fluoride (PVDF) molecule has polarity and hence this material possesses piezoelectricity, pyroelectricity, and ferroelectricity. PVDF, especially, co-polymer PVDF-TrFE (trifluoroethylene) (65/35 wt%), in film and bulk has been used widely. If this polymer can be form in fiber, its application will be extended very much.

Based on the method of liquid phase separation, 2 g of PVDF-TrFE (65/35 wt%) copolymer pellets was dissolved in 15 g of methyl ethyl ketone by stirring at room temperature for 24 hours, until a clear solution was obtained. This polymer solution is mixed with DI water to produce 33 wt% water to solution ratio (i. e., H₂O: Polymer solution = 1:2 weight ratio) by ultrasonication for 4 min (alternating 2 sec pulse / 2 sec stop). The mixture was electropulled under an applied voltage of 27 kV dc and 3-dimensional oriented PVDF-TrFE fiber was formed between the floating electrode and the grounded corrector (the length is about 6 inchs, i. e., 15 cm).

Figure 2 shows the SEM images of PVDF-TrFE (65/35 wt%) copolymer fiber electropulled from polymer solution mixing with water. The length of the fiber is 15 cm (equals to the distance from the 2nd collector to the 1st collector screen). Liquid phase separation results in smooth, oriented, electropulled fibers (see in Fig 2).

2-2. Fabrication of fibers with 3-dimensional orientation by doping ions

Based on the approach 1 of liquid phase separation, the invention approach 2 is to increase charge density on the surface of polymeric fibers by doping cations/anions to produce 3-dimension oriented fiber mats using polymers with little or no polarity. The charge density can be increased by the use of multi-valent cations/anions, or by altering the ionic concentration.

Example 2: Solution of PLGA/chloroform mixed with NaCl water solution.

The mixed liquid is prepared as follows. 1.8 g of PLGA and 12 g of chloroform were shaken for 24 h. Then a non-solvent was prepared by dissolving 1.0 g NaCl in 10 g of DI-water. The entire 13.8 g of PLGA/chloroform solution was mixed with 4 g of the NaCl water solution by ultrasonication of 2 sec pulse and 2 sec stop for 4 min. The resultant mixture is electropulled under an applied voltage of 30 kV dc, and the pulling distance of 15 cm between 2nd collector and 1st collector screen. Figure 3(a) shows the aspect of the fiber mat (length is 15 cm) with 3-dimensional orientation and Fig. 3(b) shows a SEM picture of this fiber mat.

Example 3: Incorporation of bioactive molecules (laminin) and NaCl water solution in PLGA/ chloroform

1 g of laminin water solution (concentration is 100 µg of laminin in 1 cm³ water) and 3 g of the NaCl
water solution (1.0 g NaCl in 10 g of DI-water) were added in to 1.8 g of PLGA in 12 g chloroform. These
liquids were mixed at 0 °C (ice-water bath) by ultrasonication of 2 sec pulse and 2 sec stop for 4 min. The
resultant mixture is electropulled under an applied voltage of 30 kV dc, and separation distance of 15 cm
between the 2nd collector and the 1st collector. The fiber mat with 3-dimensional orientation was obtained.
Figure 4(a) shows the aspect of the fiber mat (length is 15 cm) with 3-dimension orientation and Figure 4(b)
shows a SEM picture of this fiber mat. During phase separation, the water layer is assumed to form on the
outer surface of the jet column. Since laminin and many other bioactives have higher solubility in water than
chloroform, these bioactives are likely to concentrate on the outer surfaces of the fibers. For applications
where it is desirable to incorporate bioactives within the bulk of each fiber, surfactants can be added to
increase the solubility of the bioactive molecules within the polymer liquid phase. These principles apply for
incorporating molecules with low water solubility such as hydrophobic drugs, steroids, etc., into the fibers.

References

Huang, Zheng-Ming; Zhang, Y.-Z.; Kotaki, M.; Ramakrishna, S. A review on polymer nanofibers by electrospinning and their applications in nanocomposites. Composites Science and Technology (2003), 63(15), 2223-2253.

Madhugiri, Sudha; Chacko, Anne; Ferraris, John P.; Balkus, Kenneth J., Jr. Electrospun mesoporous molecular sieve fibers. Polymer Preprints (2003), 44(2),

86.

Madhugiri, Sudha; Dalton, Alan; Gutierrez, Jose; Ferraris, John P.; Balkus, Kenneth J., Jr. Electrospun MEH-PPV/SBA-15 Composite Nanofibers Using a Dual Syringe Method. Journal of the American Chemical Society (2003), 125(47), 14531-14538

Jia Hongfei; Zhu Guangyu, Vugrinovich Bradley; Kataphinan Woraphon; Reneker Darrell H; Wang Ping Enzyme-carrying polymeric nanofibers prepared via electrospinning for use as unique biocatalysts. Biotechnology Progress 18(5),

1027-32

X. Wang, S.-H. Lee, C. Drew, K.J. Senecal, J. Kumar and L.A. Samuelson. Polym Mater: Sci Engng 85 (2001), pp. 617-618.

Y. Zhang, H. Dong, I.D. Norris, A.G. MacDiarmid and W.E. Jones. Polym Mater 85

(2001), pp. 622-623.

Kim, Kwangsok; Chang, Charles; Zong, Xinhua; Fang, Dufei; Hsiao, Benjamin S.; Chu, Benjamin; Hadjiargyrou, Michael. The incorporation of an antibiotic drug in electrospun poly(lactide-co-glycolide) non-woven nanofiber scaffolds. Polymer Preprints (2003), 44(2), 98-99.

Jones, Wayne, Jr.; Dong, Hong; Megalamane, Umashankar; Ochanda, Frederick; Nyame, Verrad. Nanoscale electronic fibers and tubes: Toward molecular level

electronics packaging. Polymer Preprints (2003), 44(2), 104-105.

Yang, Heejae; Loh, Lussel; Han, Thomas; Ko, Frank. Nanomagnetic particle filled piezoelectric polymer nanocomposite wires by co-electrospinning. Polymer

Preprints (2003), 44(2), 163.

Pinto, N. J.; Johnson, A. T., Jr.; MacDiarmid, A. G.; Mueller, C. H.; Theofylaktos, N.; Robinson, D. C.; Miranda, F. A. Electrospun polyaniline/polyethylene oxide nanofiber field-effect transistor. Applied Physics Letters (2003), 83(20), 4244-4246.

Son, Won Keun; Youk, Ji Ho; Park, Won Ho. Preparation of Ultrafine Oxidized Cellulose Mats via Electrospinning Biomacromolecules ACS ASAP 10.1021/bm034312g S1525-7797(03)04312-5 Web Release Date: November 11,

2003

- 12 Khil, Myung-seob; Cha, Dong-il, Kim, Hak-yong; Kim, In-shik; Bhattarai, Narayan. Electrospun nanofibrous polyurethane membrane as wound dressing. Journal of Biomedical Materials Research, Part B: Applied Biomaterials (2003), 67B(2), 675-679.
- Xu, C. Y.; Inai, R.; Kotaki, M.; Ramakrishna, S. Aligned biodegradable nanofibrous structure: a potential scaffold for blood vessel engineering. Biomaterials (2004), 25(5), 877-886.

J.M. Deitzel, W. Kosik, S.H. McNight, N.C. Beck Tan, J.M. DeSimone and S. Crette. Polymer 43 (2002), pp. 1025-1029.

Sanders, Elliot H.; Kloefkorn, Renee; Bowlin, Gary L.; Simpson, David G.; Badr, Reema; Wnek, Gary E. Microencapsulation of aqueous domains within electrospun polymer fibers. Polymer Preprints (2003), 44(2), 78

Figure caption:

- Fig. 1 Schematic of electropulling set up
- Fig. 2 SEM pictures of Example 1.
- Fig. 3 (a) Aspect of the fiber mat (length is 15 cm) with 3-dimension orientation;
 - (b) SEM picture of Example 2.
- Fig. 4 (a) Aspect of the fiber mat (length is 15 cm) with 3-dimension orientation;
 - (b) SEM picture of Example 3.

This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

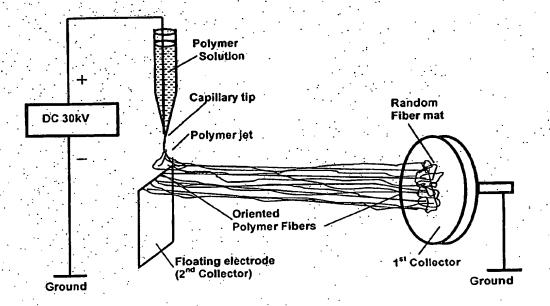


Fig. 1 Schematic drawing of the setup for electro-pulling 3-D oriented polymer fibers.

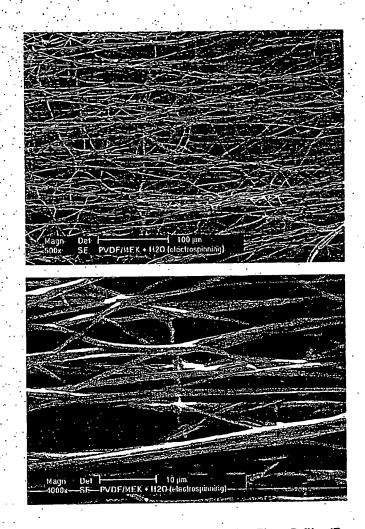
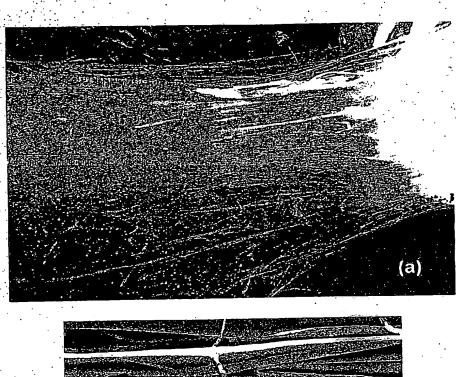


Fig. 2 SEM pictures of PVDF-TrFE fiber fabricated by ElectroPulling (Example 1).



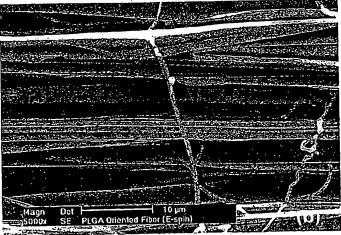
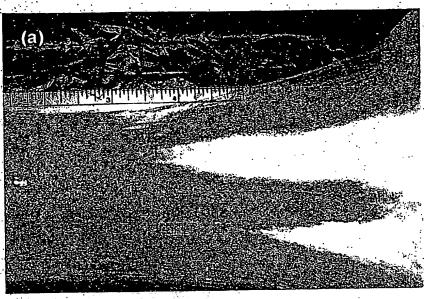


Fig. 3 (a) Aspect of the ElectroPulled fiber (length is 15 cm) from PLGA+NaCl water solution with 3-dimension orientation; (b) SEM picture of the fiber (Example 2).



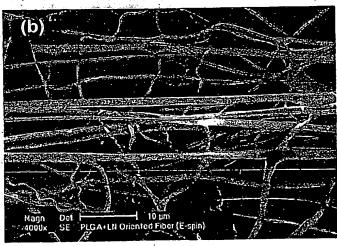


Fig. 4 (a) Aspect of the fiber mat (length is 15 cm) with 3-dimension orientation; (b) SEM picture of Example 3.